

ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

Case Docket No. BO9-99-028
0036.0043
October 21, 1999
Express Mail Label No. EL414495506US

Dear Sir:

Transmitted herewith for filing is the patent application of

BEST AVAILABLE COPY

Inventor(s): L.C. Lahey; J.M. Nagda; R.C. Nielsen; D.R. Palmer; A.F. Stuart; and A.A. Swartz
For: **A DATABASE DRIVEN WORKFLOW MANAGEMENT SYSTEM FOR GENERATING OUTPUT MATERIAL BASED ON CUSTOMER INPUT**

Enclosed are:

- ☒ 13 No. of Sheets of Drawings Sheet(s) of drawings (☒ informal) + 0 extra copies;
46 pages of Application; 32 pages of specification, 1 page of abstract
An assignment of the invention to International Business Machines Corporation. (☒ Will follow.)
An associate power of attorney.
A verified statement to establish small entity status under 37 CFR 1.9 and 1.27.
Declaration and Power of Attorney. (☒ Will follow.)
Certified copy of Patent Application No. filed from which priority is claimed under 35 U.S.C. §119.
IDS enclosed. with references.

3678 U.S. PTO
09/422593
10/21/99

CALCULATION OF FEES							
ITEM		NO. OF CLAIMS FILED MINUS BASE*		NO. OF CLAIMS OVER BASE	X SMLG ENTITY FEE	\$ AMOUNT	\$ FEE
A	TOTAL CLAIMS FEE	39	- 20* =	19	X \$9 or \$18	\$342	
B	INDEPENDENT CLAIMS FEE**	3	- 3* =	0	X \$39 or \$78	\$0	
C	SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)						342
D	MULTIPLE-DEPENDENT CLAIMS FEE			SMALL ENTITY FEE = \$130; LARGE ENTITY FEE = \$260			\$0
E	BASIC FEE*			SMALL ENTITY FEE = \$380; LARGE ENTITY FEE = \$760			\$760
F	TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)						\$1,102
G	ASSIGNMENT RECORDING FEE						\$0
**LIST INDEPENDENT CLAIMS 1, 14, 27							

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- ☒ A check in the amount of \$ 1,102 to cover the filing fee is enclosed.
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— Any patent application processing fees under 37 CFR 1.17.
— The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).
— Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,

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PATENT
BO9-99-028
0036.0043

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application for

Examiner: --

L.C. Lahey

Art Unit: --

, J.M. Nagda

, R.C. Nielsen

, D.R. Palmer

, A.F. Stuart

and A.A. Swartz

Serial No.: --

Filed: October 21, 1999

For: **A DATABASE DRIVEN
WORKFLOW MANAGEMENT SYSTEM
FOR GENERATING OUTPUT
MATERIAL BASED ON CUSTOMER
INPUT**

CERTIFICATE OF MAILING

Assistant Commissioner of Patents
Washington, D.C. 20231

Dear Sir:

"Express Mail" Label No. EL414495506US

I hereby certify that patent application papers, including specification, claims and 13 sheets of informal drawings are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231.

October 21, 1999

(Date of Deposit)

Patricia McLaughlin

(Name of person mailing paper or fee)

